



FORM NL-12 & 12A - INVESTMENT SCHEDULE

Name of Insurer: **UNITED INDIA INSURANCE COMPANY LIMITED**

Registration Number: 545

Date of Registration: 27th January 2022

Particulars	NL -12		NL -12A		(Amount in Rs. Lakhs)	
	Shareholders		Policyholders		Total	
	As at 30.09.2022	As at 30.09.2021	As at 30.09.2022	As at 30.09.2021	As at 30.09.2022	As at 30.09.2021
LONG TERM INVESTMENTS						
1 Government securities and Government guaranteed bonds including Treasury Bills	71891.78	153010.93	1607822.64	1452560.23	1679714	1605571
2 Other Approved Securities	0.00	0.00	0.00	0.00		
3 Other Investments	0.00	0.00	0.00	0.00		
(a) Shares	0.00	0.00	0.00	0.00		
(aa) Equity	40106.59	82645.97	896963.28	784573.02	937070	867219
(bb) Preference	0.00	0.00	0.00	0.00		
(b) Mutual Funds	0.00	0.00	0.00	0.00		
(c) Derivative Instruments	0.00	0.00	0.00	0.00		
(d) Debentures/ Bonds	5682.62	14078.21	127088.79	133646.98	132771	147725
(e) Other Securities (to be specified)	0.00	0.00	0.00	0.00		
(f) Subsidiaries	0.00	0.00	0.00	0.00		
(g) Investment Properties-Real Estate	0.00	0.00	0.00	0.00		
4 Investments in Infrastructure and Housing	20896.87	51808.62	467347.74	491828.56	488245	543637
5 Other than Approved Investments	6649.76	19941.17	148718.37	189305.10	155368	209246
TOTAL	145228	321485	3247941	3051914	3393168	3373399
SHORT TERM INVESTMENTS						
1 Government securities and Government guaranteed bonds including Treasury Bills	2498.82	11585.18	55884.81	109980.18	58383.63	121565.36
2 Other Approved Securities	0.00	0.00	0.00	0.00		
3 Other Investments	0.00	0.00	0.00	0.00		
(a) Shares	0.00	0.00	0.00	0.00		
(aa) Equity	0.00	0.00	0.00	0.00		
(bb) Preference	0.00	0.00	0.00	0.00		
(b) Mutual Funds	3037.14	11222.65	67924.12	106538.65	70961.26	117761.31
(c) Derivative Instruments	0.00	0.00	0.00	0.00		
(d) Debentures/ Bonds	809.56	6386.99	18105.48	60632.88	18915.05	67019.87
(e) Other Securities (to be specified)	0.00	0.00	0.00	0.00		
(f) Subsidiaries	0.00	0.00	0.00	0.00		
(g) Investment Properties-Real Estate	0.00	0.00	0.00	0.00		
4 Investments in Infrastructure and Housing	4098.14	5868.04	91652.90	55706.35	95751.04	61574.39
5 Other than Approved Investments	214.00	295.39	4786.00	0.06	5000.00	295.45
TOTAL	10658	35358	238353	332858	249011	368216
GRAND TOTAL	155885	356843	3486294	3384772	3642179	3741615

Notes:

(a) Investments in subsidiary/holding companies, joint ventures and associates shall be separately disclosed, at cost.

- Holding company and subsidiary shall be construed as defined in the Companies Act, 1956 as amended by Company Act 2013;
- Joint Venture is a contractual arrangement whereby two or more parties undertake an economic activity, which is subject to joint control.
- Joint control - is the contractually agreed sharing of power to govern the financial and operating policies of an economic activity to obtain benefits from it.
- Associate - is an enterprise in which the company has significant influence and which is neither a subsidiary nor a joint venture of the company.

-Significant influence (for the purpose of this schedule) - means participation in the financial and operating policy decisions of a company, but not control of those policies. Significant influence may be exercised in several ways, for example, by representation on the board of directors, participation in the policymaking process, material inter-company transactions, interchange of managerial personnel or dependence on technical information. Significant influence may be gained by share ownership, statute or agreement. As regards share ownership, if an investor holds, directly or indirectly through subsidiaries, 20 percent or more of the voting power of the investee, it is presumed that the investor does have significant influence, unless it can be clearly demonstrated that this is not the case. Conversely, if the investor holds, directly or indirectly through subsidiaries, less than 20 percent of the voting power of the investee, it is presumed that the investor does not have significant influence, unless such influence is clearly demonstrated.

- A substantial or majority ownership by another investor does not necessarily preclude an investor from having significant influence.

(b) Aggregate amount of company's investments other than listed equity securities and derivative instruments and also the market value thereof shall be disclosed in the **Annexure A as specified below.**

(c) Investments made out of Catastrophe reserve should be shown separately.

(d) Debt securities will be considered as "held to maturity" securities and will be measured at historical cost subject to amortisation.

(e) Investment Property means a property [land or building or part of a building or both] held to earn rental income or for capital appreciation or for both, rather than for use in services or for administrative purposes.

(f) Investments maturing within twelve months from balance sheet date and investments made with the specific intention to dispose of within twelve months from balance sheet date shall be classified as short-term investments

(g) Investment Regulations, as amended from time to time, to be referred

A) Aggregate value of Investments other than Listed Equity Securities and Derivative Instruments

(Amount in Rs. Lakhs)

Particulars	Shareholders		Policyholders		Total	
	As at 30.09.2022	As at 30.09.2021	As at 30.09.2022	As at 30.09.2021	As at 30.09.2022	As at 30.09.2021
Long Term Investments--						
Book Value	99,165	2,20,520	22,17,770	20,93,440	23,16,935	23,13,960
market Value	95,335	2,03,576	21,32,109	19,32,588	22,27,443	21,36,164
Short Term Investments--						
Book Value	7,172	22,733	1,60,394	2,15,808	1,67,566	2,38,541
market Value	7,299	21,602	1,63,231	2,05,072	1,70,530	2,26,674